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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/645,078

08/23/2000

Annette Bistrup

06510-107CIP2

Bret E Field Bozicevic Field & Francis LLP Suite 200 200 Middlefield Road Menlo Park, CA 94025



FORMALITIES LETTER

OC000000005478235

Date Mailed: 10/17/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$468.
 - \$468 for 6 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

| The balance due by applicant is \$ 1288. | | |
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| A copy of this notice MUST be returned with the reply. | 500815 | |
| Customer Service Center | 00000039 | 710.00 CH 130.00 CH |
| Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE | BHABTEW | <u>~4</u> ← |
| file://C:\APPS\preexam\correspondence\2 B.xml | 12/20/2000 | 28210/16/00 2525 2525 2525 |

sector /

| CERTIFICATE OF MAILING | | | | | | | |
|--|----------------------------------|---|---|---------------|--|--|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 | | | | | | | |
| Typed or Printed Name M | lathew Otts | 1 | | | | | |
| Signature | Mall | hos | | Date | December 12, 2000 | | |
| . , | | | Attorney Docket | 6510 |)-107CIP2 | | |
| | | | First Named Inventor | Bist | Bistrup, et al. | | |
| TRANSMITT | | | Application Number 09/645,078 | | 45,078 | | |
| (to be used for all correspon | dence after intil | ai jiiingj | Filing Date | | ust 23, 2000 | | |
| /0 | (g) | | Group Art Unit | N/A | | | |
| DEC 182 | يخ 2000 | | | N/A | | | |
| 12. | Ĕ/ | | Examiner Name | | | | |
| Tear. | aluga. | | Title: "HEC-GlcNac | 2021 | | | |
| | EN | CLOSUR | ES (check all that a | pply) | | | |
| X Fee Transmittal Form Amendment/Response | | Issue Fee Transmittal (with copy of PTOL-85B) | | _ | Request for Refund After Allowance | | |
| After Final Affidavits/decl | aration(s) | Formal Drawing(s) | | i | Communication to Group | | |
| Extension of Time Re | quest | Licensing-related Papers | | | Appeal Communication to Board of Appeals and Interferences | | |
| Express Abandonmen | | | Petition Routing Slip and Accompanying Petition | | Appeal Communication to | | |
| | | | | | Group | | |
| Statement, 1449 and Appl | | | onvert a Provisional ication | | (Appeal Notice, Brief, Reply Brief) | | |
| references Certified Copy of Prio | | | er of Attorney Revocation | - | Proprietary Information | | |
| Document(s) | | Associate | - | Status Letter | | | |
| Response to Missing Incomplete Application | | Change of Correspondence Address | | <u>X</u> | Additional Enclosure(s) (please identify below): | | |
| X Response to Missing Parts under 37 CFR 1.52 or 1.53 (with copy — Test | | Term | ninal Disclaimer | sig | eclaration for Patent Application ned by the inventors | | |
| | of Notice to File Missing Parts) | | Il Entity Statement | | (in counterparts) 2) Return Postcard | | |
| <u> </u> | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | |
|--|--------------------------------|------------------|--------|--|--|--|
| Individual Name | Paula A. Borden | Registration No. | 42,344 | | | |
| Firm Name | BOZICEVIC, FIELD & FRANCIS LLP | | | | | |
| Signature | Gaula | | | | | |
| Date | Dec. 12, 2000 | | | | | |

FEE TRANSMITTAL

Note: Effective October 1, 1998. Patent fees are subject to annual revision.

| Attorney Doc | ket Number | 6510-107CIP2 | |
|---------------|------------|-----------------|--|
| First Named I | nventor | sistrup, et al. | |
| Application N | lumber | 09/645,078 | |
| Filing Date | | August 23, 2000 | |
| Group Art Un | it | N/A | |
| Examiner Na | me | N/A | |

METHOD OF PAYMENT

A check is enclosed in the amount of \$

2. X The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.

| | | | | Deposit Account Na | | | Field & | | LLP | | | | |
|-------------|---------------|-------------|---|---|-----------|-------|---------------|-------------|-------------|---|--|----------------------|------------|
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| | Fee Co | | Entity Fe | ee (\$) Small Fee C | Code | E | ntity Fee | · (\$) | Fee De | scripti | fee DEC 1 8 2000 fee e ling fee | Fee D | <u>)ue</u> |
| Daige | 101 | == | 710 | | | | 355 | | Utility | | DEC 18 2000 | \$710 | |
| | 102 | | 320 | | | | 160 | | Design | filing | fae | <u> </u> | |
| | 104 | | 490 | | | | 245 | | Plant f | | e 18 16 | | |
| | 109 | | 710 | | | | 355 | | | e filing | fee Tanguar | | |
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| | | _ | | | | | | | | | Subtotal (1) | \$710 | |
| 2. CI | LAIMS | 3 | | | | | | | | | | | |
| No. of | claims a | filed | | Most claims | | | Extra | | | | Fee from | Fee | |
| or afte | r amendn | nent | | previously pai | <u>id</u> | | <u>claims</u> | | | 1 | below | Due | |
| Total c | laims | | 15 | - 20 | | = | 00 | | x | | = | \$ | |
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| Larg | e Fee Co | de | Entity Fo | ee (\$) Small Fee (| Code | E | ntity Fee | e (\$) | Fee Do | escripti | ion | | |
| Ū | 103 | | 18 | 203 | | | 9 | | Claims | in exc | ess of 20 | | |
| | 102 | | 80 | 202 | | | 40 | | • | | claims in excess of 3 | | |
| | 104 | | 270 | 204 | | | 135 | | | ple dependent claim | | | |
| | 109 | | 80 | 209 | | | 40 | | Reissu | issue independent claims over original patent | | | |
| | 110 | | 18 210 9 Reissue claims in excess of and over original patent | | | | | | | | | | |
| | | | | | | | | | | S | ubtotal (2) \$480 | | |
| 3. | ADDI | TION | AL FE | ES | | | | | | | | | |
| Large | Entity | Small | Entity | Fee Description | | Fee | Large | Entity | Small | Entity | Fee Description | | Fee Due |
| Fee | Fee | Fee | Fee | | | Due | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | Due |
| Code 105 | (\$) 130 | Code 205 | (\$) 65 | Surcharge - late filing fee o | r oath | 130 | 127 | 50 | 227 | 25 | Surcharge - late prov | isional filing | |
| 103 | 130 | 203 | 03 | Surcharge - late limits lee e | | | | | | | fee or cover sheet | · · | |
| 139 | 130 | 139 | 130 | Non-English specification | ł | | 147 | 2,520 | 147 | 2,520 | • . | | |
| 115 | 110 | 215 | 55 | Ext. for reply within first m | onth | | 116 | 390 | 216 | 195 | Ext. for reply within | | <u></u> |
| 117 | 890 | 217 | 445 | Ext. for reply within third n | nonth | | 118 | 1,390 | 218 | 695 | Ext. for reply within | fourth month | <u> </u> |
| 128 | 1,890 | 228 | 945 | Ext. for reply within fifth m | | | 119 | 310 | 219 | 155 | Notice of Appeal | • | <u> </u> |
| 120 | 310 | 220 | 155 | Filing brief in support of ap | | | 121 | 270 | 221 | 135 | Request for oral hear | - | <u> </u> |
| 140 | 110 | 240 | 55 | Petition to revive - unavoid | | | 141 | 1,240 | 241 | 620 | Petition to revive - use Petitions to the Com- | | <u> </u> |
| 142 | 1,240 | . 242 | 620 | Utility issue fee (or reissue) | | | 122 | 130 | 122 126 | 130 180 | Submission of IDS | missioner | |
| 123 146 | 50 710 | 123 246 | 50 355 | Petitions related to prov. ap Filing submission after fina | | | 126 149 | 180 710 | 249 | 355 | For each additional i | nvention to be | - |
| | | | | rejection (37 CFR 1.129(a)) |) | | | | | | examined (37 CFR 1 | | |
| 112 | 920° | 112 | 920° | Requesting publication of S prior to Examiner action | SIR | | 113 | 1840° | 113 | 1840° | Requesting publicati Examiner action | on of SIR after | |
| 143 | 440 | 243 | 220 | Design issue fee | | | 144 | 600 | 244 | 300 | Plant issue fee | | |
| 581 | 40 | 581 | 40 | Recording patent assignme | nt | | Other fe | e (specify | /) | | | | <u> </u> |
| Redu | ced by Ba | sic Filing | Fee Paid | | | | | ፐብፕ | AI. AM | | BTOTAL (3) \$130.00 TO BE CHARGED | (\$) 1.320.00 | |
| SURN | AITTED | BY | | | | | | 101 | UP WIAT | <u> </u> | | f applicable) | |
| | or Print | | Pa | ula A. Borden, BOZICE | ŲC, FIE | ELD & | FRANCI | S LLP | | | Reg. Number | 42,344 | |
| Signa | | | 7 | ouls & |) | | | _ | .12,2 | 000 | Deposit Account | 50-0815 | |

| CERTIFICATE OF MAILING | | | | | |
|--|--------|------|-------------------|--|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. | | | | | |
| Typed or Printed Name Mathew Otts | | | | | |
| Signature | Wan GZ | Date | December 12, 2000 | | |

| | Attorney Docket | 6510-107CIP2 | | | |
|--|----------------------|-----------------|------------------|--|--|
| RESPONSE TO | First Named Inventor | Bistrup, et al. | | | |
| MISSING PARTS | Application Number | 09/645,078 | | | |
| | Filing Date | August 23, 2000 | | | |
| Address to: | Group Art Unit | N/A | UEC 1 8 2000 (C) | | |
| Box Missing Parts Assistant Commissioner for Patents | Examiner Name | N/A | | | |
| Washington, D.C. 20231 | Title: "HEC-GlcNace | ST" | S TAADSWAAK! | | |

Sir:

In response to the Notice to File Missing Parts dated October 17, 2000, transmitted herewith for filing are the following documents:

- 1. a copy of the Notice to File Missing Parts;
- 2. a Declaration for Patent Application Attorney signed by the inventors (in counterparts);

The Commissioner is hereby authorized to charge the amount of \$1,320.00 (surcharge, \$130.00; statutory basic filing fee, \$710.00; additional claim fees, \$480.00) to cover the fees required and any other fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date:

Dec. 12, 2000

Paula A. Borden

Registration No. 42,344

BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200 Menlo Park, CA 94025

Telephone: (650) 327-3400 Facsimile: (650) 327-3231

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